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The said information has been updated for information of Debenture Holders, Other Intermediaries and General Public in compliance with SEBI Circular " SEBI/ HO/ MIRSD/ CRADT/ CIR/ P/ 2020/230" dated November 12, 2020 as per Annexure B : Table 6.

<u>Complaints received by debenture trustee including default cases as on 31.03.2021</u>

| Name of the Issuer | No. of pending Complai nts at the end of last half year | No. of complain ts received during the half year | No. of complaint s resolved during the half year (within 30 days) | No. of complaints pending at the end of half year (for more than 30 days) | Nature of the Complaint(s) | | | Steps taken to | Remarks, if any |
|---|---|--|---|--|---------------------------------------|--|--------------|---|--|
| | | | | | Delay in payment of interest | Delay in paymen t of redempt ion | Any other | resolve the pending complai nts | |
| (1) | (2) | (3) | (4) | (5) | (6) | (7) | (8) | (9) | (10) |
| SREI Equipment Finance Ltd | 0 | 37 | 37 | 0 | - | - | 37 | | These are enquiries about the affairs of the company.* <u>https://www.catalysttrustee.com/</u> <u>wp-</u> <u>content/uploads/2021/04/SREI-</u> <u>Status-Report.pdf</u> |
| Reliance Home Finance Ltd# | 0 | 1 | 1 | 0 | 1 | - | - | | For updated status visit below link: <u>https://www.catalysttrustee.com/</u> <u>wp-</u> <u>content/uploads/2021/05/RHFL-</u> <u>Status-Report.pdf</u> |
| L&T Infrastructure Finance Company Ltd. | 0 | 31 | 31 | 0 | - | - | 31 | | NIL |

| Name of the Issuer | No. of pending | No. of complain | No. of complaint | No. of complaints | Nature of the Complaint(s) | | | Steps taken to | Remarks, if any |
|--|--|--|--|--|---------------------------------------|--|--------------|---|---|
| | Complai nts at the end of last half year | ts received during the half year | s resolved during the half year (within 30 days) | pending at the end of half year (for more than 30 days) | Delay in payment of interest | Delay in paymen t of redempt ion | Any other | resolve the pending complai nts | |
| (1) | (2) | (3) | (4) | (5) | (6) | (7) | (8) | (9) | (10) |
| D S Kulkarni Developers Ltd. # | 0 | 56 | 56 | 0 | - | - | 56 | | For updated status visit below link: https://www.catalysttrustee.com/w p-content/uploads/2021/05/75 DSKDL-Status-Report-Update-on- 26.05.2021.pdf |
| Dewan Housing Finance Corporation Limited # | 0 | 964 | 964 | 0 | 0 | 0 | 964 | | For updated status visit below link: https://www.catalysttrustee.com/s ebi-compliance-by-issuer- companies/dhfl-ibc/ |

* Note:

The issuer had filed an application under Section 230 of the Companies Act, 2013 before the Hon'ble National Company Law Tribunal, Kolkata Bench ("NCLT") being C.A.(CAA) No. 1492 / KB / 2020, proposing a scheme of arrangement ("Scheme") with all creditors including the debenture holders of the Company, whereby the NCLT has passed the following order on December 30, 2020:

"In exercise of powers conferred Under Section 230 of the Companies Act, 2013 read with Rule 11 of the National Company Law Tribunal Rules, 2016 and until the Scheme is considered by the said Creditors and this Hon'ble Tribunal and to protect the interest of stakeholders, we direct that in the meantime till further orders, the Creditors (including representative security or debenture trustees) of the Applicant Company covered under the Scheme shall maintain status quo with respect to their respective contractual terms dues claims and rights and the Creditors (including security or debenture trustees) and all governmental or regulatory authorities shall be estopped from taking any coercive steps including reporting in any form and/or changing the account status of the Company from being a standard asset which will prejudicially affect the Company and/or sanctioning and/or implementation of the Scheme. It is further directed that the Credit Rating Agencies shall not consider any such non-payment to be a default under the respective debt documents and shall maintain the rating(s) of SEFL at least that of investment grade.

To protect the rights and interest of the Debenture holders, we have filed a collective appeal before the Hon'ble National Company Law Appellate Tribunal inter-alia challenging the impugned Order dated December 30, 2020 in the aforementioned application numbered as Comp. Appeal (AT) No.18/2021 titled "Axis Trustee Services Limited & Others vs. SREI Equipment Finance Limited".

In default case, complaints received are mostly in nature on enquires and not actual complaints.

Date: 30.05.2021